

National Yang Ming Chiao Tung University Directions for Establishing the Committee for Value-Added Management of Research and Development Results

Approved at the University's 4th Administrative Meeting in the academic year 2020, on April 28, 2021

Amended and approved at the University's 1st Administrative Meeting in the academic year 2024, on September 4, 2024

- I. In order to effectively manage the University's research and development results and promote the industrialization of such results, we hereby establish the Committee for Value-Added Management of Research and Development Results(hereinafter the "Committee") in accordance with governmental decrees and Article 10 of the University's Implementation Regulations of Industry-Academia Collaboration.
- II. The mandate of the Committee is described as follows:
 - (1) To review the University's patent applications and termination of maintenance cases.
 - (2) To review cases of assignment of the University's research and development results and, where appropriate, decide whether an appraisal process is required.
 - (3) To review the avoidance of conflict of interest and disclosure of information regarding the University's technology transfer cases and spin-off businesses.
 - (4) To evaluate the appraisal of intellectual property rights related to spin-offs in the University.
 - (5) To provide consultation on other matters regarding the management, utilization and development of research and development results.
- III. The Committee is organized as follows:
 - (1) The President of the University shall designate a Chairperson who shall serve as the convenor of Committee meetings, and appoint the Director of the Office of Industry-Academia Collaboration (hereinafter the "OIAC") and Director of the Office of Research and Development (hereinafter the "ORD") as ex-officio members. Meetings of the Committee shall be presided over by the Chairperson.
 - (2) The Committee shall consist of a number of members, not exceeding nineteen in total. In addition to the Chairperson and ex-officio members, the Director of the OIAC shall recommend seven to nine professors from within the University and up to seven external experts from related industries, or with expertise in technology, law, accounting, or investment, and submit a signed request to the President of the University for approval. Each members shall serve a term of two years and may be reappointed upon expiration of the term.
 - (3) The Committee shall be convened only if more than half of the total number of members are present. Resolutions shall be adopted by a majority of those present after discussion; in the event of a tie, the Chairperson shall have a casting vote.
 - (4) If the Chairperson of the Committee is unable to attend the meeting, he/she may appoint in advance a proxy to preside over the meeting, or the members present may jointly elect a person to act on his/her behalf.
 - (5) A Committee member shall be deemed to have his/her position vacated under any of the following circumstances:
 1. A member who held a position of the teaching faculty has left the service of the University, or who has been seconded or retired and employed by a private corporation, a private body, or any other private sector organization.
 2. A member who has been convicted in a criminal case by a court of first instance in the Republic of China (R.O.C), or has violated the laws of the R.O.C in a manner serious enough

to impair the reputation of the University.

3. A member who submits his/her resignation in writing.

- (6) In the event of a vacancy in the Committee, a replacement may be appointed (or hired) based on the area of expertise of the vacated member. The term of the replacement member shall end on the expiration date of the original member's term.

IV. Convening of Committee Meetings

(1) In principle, the Committee convenes a regular meeting once a month.

(2) The Chairperson may convene ad hoc meetings as necessary.

V. Others

(1) If a technology transfer case requires technology appraisal in accordance with regulations, the University's creator shall first submit related documents, including an appraisal report issued by a third party recognized by the government agency, to the Committee for review.

(2) Members of the Committee are obliged to keep the contents of the meetings confidential and shall recuse themselves if they have a vested interest.

VI. The Directions shall come into effect upon approval by the administrative meeting, and the same shall apply to any amendments thereto.